

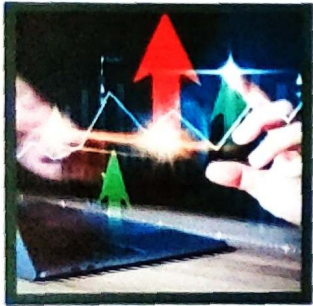
PG DEPARTMENT OF COMMERCE SREE KRISHNA COLLEGE , GURUVAYUR

SINCE 1964

CERTIFICATE COURSE

2020-21

BASICS OF STOCK TRADING



COURSE OBJECTIVES

- Grasp the structure and functions of Indian capital markets, focusing on IPOs and investor protection.
- Learn trading and settlement systems in BSE and NSE, including BOLT and De-mat processes.
- Analyze stock market indices, including BSE Sensex and NSE Nifty, and their construction.
- Review SEBI regulations and trends in the primary and secondary markets.

COURSE HIGHLIGHTS

DURATION OF THE COURSE- 30 HOURS

COURSE CONTENT:- INDIAN CAPITAL MARKETS

TRADING & SETTLEMENT SYSTEMS

STOCK MARKET INDICES

SEBI REGULATIONS & TRENDS



FOR MORE INFO, CONTACT

Dr.Jisha S Kumar,
HOD

Apply Now

Dr.Kanakachandran C R
Coordinator

PG DEPARTMENT OF COMMERCE



Sree Krishna College, Guruvayur – 680102

Certificate course 2020-2021

Certificate Course on "Basics of Stock Trading"

Course Code: CERSKCCOM3

Total Hours- 30 Hours

Total Marks- 40Marks

PG Department of Commerce is offering a certificate course titled "**Basics of Stock Trading**" to the students of Sree Krishna College, Guruvayur. The stock market plays a crucial role in wealth creation and financial growth. This course aims to equip students with the essential theoretical and practical knowledge of stock trading, enabling them to analyse and navigate equity markets effectively. The course will cover key topics such as capital markets, trading systems, settlement processes, and stock market indices. It is a 30-hour course, with classes held from 3:30 PM to 4:30 PM. An external examination will be conducted to assess the students' understanding of the subject.

Course Objectives

1. Grasp the structure and functions of Indian capital markets, focusing on IPOs and investor protection.
2. Master trading and settlement systems in BSE and NSE, including BOLT and De-mat processes.
3. Analyse stock market indices, including BSE Sensex and NSE Nifty, and their construction.
4. Review SEBI regulations and trends in the primary and secondary markets.

Course Outcomes

On successful completion of the course, students will be able to:

1. Understand the role and importance of the Indian stock market.
2. Apply and analyse concepts relevant to stock trading.
3. Understand and assess the mechanics and operations of stock trading and settlement in stock exchanges.



Dr. Kanakachandran C.R.
Course Coordinator

Syllabus

Unit 1: Capital Markets in India

- Overview of Indian Securities Market: Meaning, functions, and intermediaries
- Role of Primary Market
- Methods of floatation of capital
- Problems of New Issues Market
- IPOs and investor protection in primary markets
- Recent trends in primary markets
- SEBI measures for primary markets
(10 Hours)

Unit 2: Trading and Settlement in Stock Exchanges

- Different trading systems: BSE - BOLT System, NSE
- Types of settlements: Pay-in, Pay-out, bad delivery, short delivery, auction
- De-mat and physical settlements
- Institutional and funds settlements
(12 Hours)

Unit 3: Stock Market Indices

- Meaning, purpose, and construction of indices
- Methods of developing stock indices
- Stock market indices in India: BSE Sensex, NSE indices, and their selection criteria
- Overview of stock market indices in foreign countries
(8 Hours)

References:

- (i) Punithavathy Pandian, *Security Analysis and Portfolio Management*, Vikas Publishing House Pvt. Ltd.
- (ii) I. M. Pandey, *Financial Management*, Vikas Publishing House Pvt. Ltd.
- (iii) V. A. Avadhani, *Investment and Securities Market in India*, Himalaya Publishing House.
- (iv) Prasanna Chandra, *Security Analysis and Portfolio Management*, Tata McGraw-Hill.



~~Dr. K. K. K.~~
Dr. Kanakachandran C.R.
Course Coordinator

SREEKRISHNA COLLEGE, GURUVAYUR

**DEPARTMENT OF COMMERCE
CERTIFICATE COURSE - CERSKCCOM3: BASICS OF STOCK TRADING
MARCH 2021**

DURATION: 1 ½ hrs

Maximum Marks: 40

Section A

Answer any 5 questions. Each question carries 2 marks

1. Define capital markets and their functions.
2. Explain the role of SEBI in regulating the stock market.
3. Write a short note on Initial Public Offerings (IPOs).
4. What is the BOLT system, and how does it function?
5. List the different types of stock market indices in India.
6. Define trading settlement and its significance.

(5x2=10 marks)

Section B

Answer any 4 questions. Each question carries 5 marks

7. Discuss the methods of floatation of capital in the primary market.
8. Explain the process of stock trading and settlement in BSE.
9. Analyze the criteria for selecting stocks in BSE Sensex.
10. Describe the advantages and disadvantages of physical versus dematerialized (De-mat) settlements.
11. Discuss the recent trends in the Indian stock market.

(4x5=20 marks)

Section C

Answer any one question. One question carries 10 marks

12. Examine the mechanics and operations of stock trading in Indian stock exchanges.
13. Evaluate the impact of regulatory measures by SEBI on investor protection in the stock market.

(1x10=10 marks)



*Dr. Kanakachandran C.R.
Course Co-ordinator*

Report on Certificate Course: Basics of Stock Trading

The Department of Commerce offered a Certificate Course titled "**Basics of Stock Trading**" for students at Sree Krishna College, Guruvayur. This course aimed to equip students with essential theoretical and practical knowledge of stock trading, aligning with their academic pursuits in finance and commerce. The course provided students with a solid foundation for understanding capital markets, trading systems, and investment strategies, which is crucial for those interested in careers in finance and investment.

A total of 60 students enrolled in this course, with only two students dropping out due to personal commitments. The course spanned 30 hours, conducted over a period of six weeks, and was held from 3:30 PM to 4:30 PM.

At the end of the course, an examination was conducted to assess the students' understanding of the subject matter. The assessment criteria included a combination of the final examination, internal assessments, attendance, and submission of assignments, which were mandatory for all participants. Based on these factors, final grading was assigned, and certificates were awarded to successful candidates.

The course has been well-received, with students expressing appreciation for the practical insights and knowledge gained regarding stock market operations and investment strategies. The content covered in the course not only enhanced their understanding but also motivated many students to consider further studies in finance, such as pursuing professional certifications like CFA or MBA programs specializing in finance.

Overall, the Certificate Course in Basics of Stock Trading has been a significant addition to the curriculum, fostering students' interests in financial markets and preparing them for future academic and professional endeavours.



~~Prof~~
Dr. Kana Kanchandran C.R.
Course Co-ordinator